IAP6 Rec'd PCT/PTO 30 JUNE 2006

| FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE ATTORNEY'S DOCKET NUMBER (REV. 7-2005) |             |   |  |  |  |  |  |  |  |
|---|-------------|---|--|--|--|--|--|--|--|
| INLY. /   | TR          | ANSMITTAL LETTER  | 003D.0067.U1(US)                                   |  |  |  |  |  |  |
|   | [           | DESIGNATED/ELECTE   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5     |  |  |  |  |  |  |
| C   | ON          | ICERNING A SUBMISS  | SION UNDER 35 U.S.C. 371                           | 10/539,927   |  |  |  |  |  |
| INTER   |             | IONAL APPLICATION NO.<br>CT/EP2003/050993   | PRIORITY DATE CLAIMED  20 December 2002            |  |  |  |  |  |  |
| TITI F  |             | NVENTION  | 12 December 2003                                   | 20 December 2002                                       |  |  |  |  |  |
|   |             |   | g a Cable to Such a Cable Connector                |  |  |  |  |  |  |
|   |             | T(S) FOR DO/EO/US<br>Kumar MITRA, Gert DROESBE  | JUN 3 0 2006                                       |  |  |  |  |  |  |
| Applic  | ant h       | erewith submits to the United State   | s Designated/Eleased Office (SC/EO/US) the         | following items and other information:                 |  |  |  |  |  |
| 1.  |             | This is a FIRST submission of ite   | ms concerning a submission under 35 U.S.C.         | 371.   |  |  |  |  |  |
| 2.  | $\boxtimes$ |   | ENT submission of items concerning a submis        |  |  |  |  |  |  |
| 3.  |             | This is an express request to beg (9) and (24) indicated below.   | in national examination procedures (35 U.S.C.      | . 371(f)). The submission must include items (5), (6), |  |  |  |  |  |
| 4.  |             | The US has been elected (Article  | 31).   |  |  |  |  |  |  |
| 5.  |             | A copy of the International Applic  | ation as filed (35 U.S.C. 371 (c)(2))              |  |  |  |  |  |  |
| •   |             | a.  is attached hereto (requ  | ired only if not communicated by the Internati     | onal Bureau).  |  |  |  |  |  |
|   |             | _   | d by the International Bureau.                     |  |  |  |  |  |  |
| •   |             | c. 🔲 is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |  |  |  |  |  |  |
| 6.  |             | • • •   | of the International Application as filed (35 U.S. | .C. 371(c)(2)).  |  |  |  |  |  |
|   |             | a. is attached hereto.  |  |  |  |  |  |  |  |
| _   |             | •   | omitted under 35 U.S.C. 154(d)(4).                 |  |  |  |  |  |  |
| 7.  |             |   | International Application under PCT Article 19     |  |  |  |  |  |  |
|   |             | _   | quired only if not communicated by the Internal    | tional Bureau).  |  |  |  |  |  |
|   |             | _   | ed by the International Bureau.                    | wante has NOT syminal                                  |  |  |  |  |  |
|   |             |   | owever, the time limit for making such amendn      | nents has NOT expired.                                 |  |  |  |  |  |
| 8.  |             |   |  | dicle 10 (35 H S C 371(c)(3))                          |  |  |  |  |  |
| 9.  | $\boxtimes$ | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |  |  |  |  |  |  |
| 10.   |             | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).  An English language translation of the annexes to the International Preliminary Examination Report under PCT  Article 36 (35 U.S.C. 371 (c)(5)). |  |  |  |  |  |  |  |
| 11.   |             |   | inary Examination Report (PCT/IPEA/409).           |  |  |  |  |  |  |
| 12.   |             | A copy of the International Search  | , , ,  |  |  |  |  |  |  |
| lte   | ems 1       | 3 to 23 below concern documen   | t(s) or information included:                      |  |  |  |  |  |  |
| 13.   |             | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |  |  |  |  |  |  |  |
| 14.   |             | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |  |  |  |  |  |  |  |
| 15.   |             | A FIRST preliminary amendment.  |  |  |  |  |  |  |  |
| 16.   |             | A SECOND or SUBSEQUENT preliminary amendment.   |  |  |  |  |  |  |  |
| 17.   |             | A substitute specification.   |  |  |  |  |  |  |  |
| 18.   |             | A power of attorney and/or chang  | e of address letter.                               |  |  |  |  |  |  |
| 19.   |             | A computer-readable form of the   | sequence listing in accordance with PCT Rule       | 13ter.2 and 37 CFR 1.821 - 1.825.                      |  |  |  |  |  |
| 20.   |             | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |  |  |
| 21.   |             | A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |  |  |
| 22.   |             | Express Mail Label No.  |  |  |  |  |  |  |  |

| •>  | Under the Paperwork   | Reduction Act of 199  | 5. no pers   | ons are required to respond to   | U.S. Po a col            | Appropriate Appropriation Appropriation of informatic | oved for use<br>rk Office; U.<br>In unless it d | PTO-<br>through 3/31/20<br>S. DEPARTME<br>lisplays a valid 0 | 1390 (Rev. 07-2005)<br>007. OMB 0651-0021<br>NT OF COMMERCE<br>OMB control number. |
|-----|---|---|--|--|--------------------------|---|---|--|--|
| •   |   | N NO (if known, see   | ·  |  |                          |   | ATTORNEY'S DOCKET NUMBER                        |  |  |
| İ   | 1   | 0/539,927   |  | PCT/EP20   | 03/0                     | 50993   | 0   | 03D.0067.U   | 1(US)  |
|     |   | ns or information:<br>or Extension of Tim   | e, Copy o  | of Notification of Missing I   | Requi                    | rements   |   |  | ·  |
|     | The following   |   |  |  |                          |   | CALCU   | LATIONS  | PTO USE  |
|     | <u> </u>  |   |  |  |                          | \$0.00  |   |  |  |
|     | If the written opinion prepared by IPEA   | on prepared by IS.<br>/US indicates all c   | A/US or t<br>laims sat   | the international prelimina<br>tisfy provisions of PCT Art   | icle                     | \$0   | \$  | \$0.00   |  |
| r   | 26. Search f If the written opini by IPEA/US Search fee (37 CF as an Intern International Sear previously c | 26. ☐ Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority |  |  |                          |   |   | \$0.00   |  |
|     | TOTAL   | OF 24, 25 and   | 26 =   |  | -                        |   | \$  | \$0.00   |  |
|     | Additional fee<br>sequence list<br>listing in an e<br>The fee is \$2  | e for specification<br>ting in compliance<br>electronic medium)<br>50 for each addition   | and draw<br>with 37 (<br>37 CFR<br>onal 50 s   | rings filed in paper over 10<br>DFR 1.821(c) or (e) or con<br>( 1.492(j)).<br>heets of paper or fraction | 00 sho<br>npute<br>there | eets (excluding<br>er program<br>eof.                 |   |  |  |
|     | Total Sheets  | Extra Sheets  |  |  |                          | RATE  |   |  |  |
|     | - 100 =   | 0 /50 =   |  | 0  |                          | × \$250.00  | \$  | \$0.00   |  |
|     | Surcharge of \$130<br>declaration after the   | 0.00 for furnishing<br>he date of comme   | any of th  | e search fee, examination of the national stage (37  | fee,<br>CFR              | or the oath or<br>1.492(h)).                          | \$  | \$130.00   |  |
|     | CLAIMS  | NUMBER F  | lave deen submitted.    Sample   Sample |  |                          |   |   |  |  |
|     | Total claims  |   | - 20 =   | 0  | x                        | \$50.00   | \$  | \$0.00   |  |
|     | Independent claim   | ns  | - 3=   | 0  | х                        | \$200.00  | \$  | \$0.00   |  |
|     | MULTIPLE DEPE   | NDENT CLAIMS  |  |  |                          | <del></del>   |   | \$0.00   |  |
|     |   |   |  |  |                          |   | \$  | \$130.00   |  |
|     | Applicant cla   | Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |  |  |                          |   |   | \$0.00   |  |
|     |   |   |  |  | S                        | SUBTOTAL =  | \$  | \$130.00   |  |
|     |   |   |  |  | an 30                    | 0 months from   | \$  | \$0.00   |  |
|     |   |   |  | TOTAL N  | IATI                     | ONAL FEE =  | \$  | 130  |  |
| 300 | Fee for recording   | the enclosed assig  | gnment (3<br>er sheet  | 37 CFR 1.21(h)). The ass<br>(37 CFR 3.28, 3.31). \$40  | ignm<br>per j            | ent must be<br>property +                             | \$  | \$0.00   |  |
| 517 |   |   |  |  |                          |   | \$  | \$130.00   |  |
|     |   |   |  |  |                          |   | Amoun   | t to be  | \$   |
|     |   |   |  |  |                          |   | Amoun   | t to be  | \$   |
|     |   |   |  |  |                          |   |   |  |  |

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| a.  | $\boxtimes$  | A check in the amount of \$                                   | \$130.00 | to cover the above fees is enclosed. |                          |  |  |  |  |
|---|--|---|----------|--------------------------------------|--------------------------|--|--|--|--|
| b.  |  | Please charge my Deposit Ac<br>A duplicate copy of this sheet |          | in the amount of \$                  | to cover the above fees. |  |  |  |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed. |  |   |          |                                      |                          |  |  |  |  |
| d.  | d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |   |          |                                      |                          |  |  |  |  |
|   | NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.               |   |          |                                      |                          |  |  |  |  |
| SEND ALL CORRESPONDENCE TO:   |  |   |          |                                      |                          |  |  |  |  |
| Cı  | ston   | ier No. 29683   |          | SIGNATURE                            | Y                        |  |  |  |  |
|   |  | gton & Smith, LLP   |          | Mark F. Harrington                   | Mark F. Harrington       |  |  |  |  |
| 4 Research Drive<br>Shelton, CT 06484-6212<br>(203) 925-9400  |  |   |          | NAME                                 | NAME                     |  |  |  |  |
|   |  |   |          | 31,686                               | 31,686                   |  |  |  |  |
|   |  |   |          | REGISTRATION NUMBE                   | REGISTRATION NUMBER      |  |  |  |  |
|   |  |   |          | 6/20/06                              | 6/26/06                  |  |  |  |  |
|   |  |   |          | DATE                                 |                          |  |  |  |  |
|   |  |   |          |                                      |                          |  |  |  |  |
|   |  |   |          |                                      |                          |  |  |  |  |

### IN THE U.S. PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of:

Applicant: Mitra et al.

U.S. Serial No.: 10/539,927

Filed: June 16, 2005

Title: Cable Connector and Method of Assembling a Cable to Such a Cable Connector

Attorney Docket No.: 003D.0067.U1(US)

# Certificate of Mailing

I hereby certify that the following correspondence:

Transmittal Letter

Petition for Extension of Time

Copy of Notification of Missing Requirements

Declaration/Power of Attorney

Check for \$ 580.00

Self addressed stamped post card

Is being deposited with the United States Postal Service with first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 6-26-06

Ann Okrentowich



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| U.S. APPLICATION NUMBER NO.                |  | FIRST NAMED APPLICANT   | ATTY. DOCKET NO.              |          |  |  |
|--|--|---|-------------------------------|----------|--|--|
| 10/539,927                                 |  | 003D.0067.U1(US)  |                               |          |  |  |
| ·  | ·  |   | INTERNATIONAL APPLICATION NO. |          |  |  |
|  |  |   | PCT/EP03/50993                |          |  |  |
| 29683                                      | US ACTION DUE DATE_  | 7/9/0C  | I.A. FILIN                    | G DATE   | PRIORITY DATE  |  |
| HARRINGTON & SMITH, LLP                    |  | 0/1/06  | 12/12/                        | 2003     | 12/20/2002   |  |
| 4 RESEARCH DRIVE                           | PAPER DAT  | ED <u>3/9/06</u>  |                               |          | ř  |  |
| SHELTON, CT 06484-6212                     | 0A   | FINAL 9/9/06  |                               | ONFIRM   | ATION NO. 8408   |  |
|  | (MSG PT)   | DWG   | 371 FORMA                     | 2.2      | 4.   |  |
| ·  | APPEAL_  | ISSUE FEE   |                               | 8212806* |  |  |
| and the second second second second second | OTHER  |   | :-                            | J2 12000 |  |  |
| Date Mailed: 03/09/2006                    | The second secon | A THE PARTY AND | The second                    |          | a de la companya de l |  |

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/16/2005
- Copy of the International Search Report filed on 06/16/2005
- Copy of IPE Report filed on 06/16/2005
- Preliminary Amendments filed on 06/16/2005
- Information Disclosure Statements filed on 06/16/2005
- U.S. Basic National Fees filed on 06/16/2005
- Priority Documents filed on 06/16/2005

The applicant needs to satisfy supplemental fees problems indicated below.

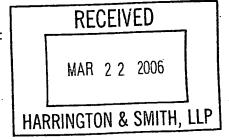
The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.



ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

## PART 1 - ATTORNEY/APPLICANT COPY

| <br>                            |   |                               |                      |
|---------------------------------|---|-------------------------------|----------------------|
| <br>U.S. APPLICATION NUMBER NO. |   | INTERNATIONAL APPLICATION NO. | <br>ATTY, DOCKET NO. |
| 10/539,927                      | - | PCT/EP03/50993                | <br>003D.0067.U1(US) |

FORM PCT/DO/EO/905 (371 Formalities Notice)

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